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Docket: 6944.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| First Named Inventor: | Badri Prasad | RECEIVED APR 12 2001 Examiner: Group Art Unit: Technology Center 2100 |
| Application No.: | 09/733,215 | |
| Filing Date: | December 8, 2000 | |
| Title: | METHOD FOR HIGH-RISK MEMBER IDENTIFICATION | |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington D.C. 20231

Dear Sir:

Pursuant to 37 CFR 1.97, the references listed on the attached Form PTO-1449 (1 sheet, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the identified references are enclosed as necessary. This IDS is being filed prior to the first office action on the merits. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.

Applicant reserves the right to show, pursuant to 37 CFR 1.131 or otherwise, that any of the identified references, and any referenced in the present application and its parent applications, are not prior art with respect to the present invention.

Respectfully submitted,

Date: March 5, 2001

By: Jason R. Kraus
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| I hereby certify that the document is being deposited with the United States Postal Service as first Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on | |
| <u>3-6-01</u> (Date of Deposit) by <u>Dena Rootes</u> (Name) | |
| <u>Dena Rootes</u> Signature | <u>3-6-01</u> Date of Signature |

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.
6944.00

SERIAL NO.
09/733,215

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use several sheets if necessary)

APPLICANT
Badri Prasad, et al.

FILING DATE
December 8, 2000

GROUP ART UNIT
Unknown

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Inventor Name | Class | Sub-class | Filing Date (if appropriate) |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Sub-class | Translation |
|------------------|-----------------|------|---------|-------|-----------|-------------|
| | | | | | | No |
| | | | | | | No |
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| | | | | | | No |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner Initial | Document Description |
|------------------|--|
| A | Alexandre, Leslie M., "High-Cost Patients in a Fee-For-Service Medical Plan, The Case for Earlier Intervention," <i>Medical Care</i> , February 1990, Vol. 28, No. 2, pp. 112-123. |
| B | Clark, Daniel O., et al., "A chronic Disease Score with Empirically Derived Weights," <i>Medical Care</i> , Vol. 33, No. 8, pp. 783-795. |
| C | Roblin, Douglas W., et al., "A Low-Cost Approach to Prospective Identification of Impending High-Cost Outcomes," <i>Medical Care</i> , Vol. 37, No. 11, pp. 1155-1163. |
| D | Steen, Paul M., "Approaches to Predictive Modeling," <i>Ann Thorac Surg</i> 1994;58:1836-40. |

| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.